

# Arizona Corporation Commission Securities Division

The Securities Division strives to preserve the integrity of the financial marketplace through investigative actions and the registration and oversight of securities, dealers and salespersons, and investment advisers;  
to enhance legitimate capital formation and deter financial fraud;  
and to minimize the burden and expense of regulatory compliance by legitimate business.

## FORENSIC ACCOUNTANT Enforcement Section

### WHAT YOU WILL DO

**We are seeking an accountant to be part of a financial fraud case team. Matters investigated are often multi-million, multi-jurisdictional financial frauds involving up to hundreds of defrauded investors.**

You will be part of a 25-person enforcement unit, investigating possible violations of the Arizona Securities Act and Investment Management Act. As a Forensic Accountant (Securities Specialist III), you will collaborate with a case team to plan accounting needs; organize and examine large volumes of data; conduct thorough financial analysis of bank statements and financial records; prepare investor lists, reports, and exhibits; and participate in interviews, sworn interviews, and testify in Administrative, Civil, or Criminal cases. You will teach and attend training workshops, and perform peer review of others' case work as part of testimony preparation.

### WHAT YOU WILL OFFER

- Bachelor's degree or higher in Accounting, with knowledge of GAAP and GAAS preferred
- Ability to interpret and apply state and federal securities and criminal laws, rules, regulations and statutes
- Prior work experience in forensic accounting, auditing, or litigation support
- Ability to perform highly advanced accounting work
- Ability to testify as a summary or expert witness, and prepare clear, concise reports
- Positive interpersonal skills
- Demonstrable research, analysis and organizational skills
- Ability to prioritize highly detailed workload while maintaining accuracy
- Proficient computer skills, particularly in Access, Word, Excel, and other Microsoft Office programs

Current CPA or CFE designation is preferred.

### WHAT WE OFFER

- Anticipated hiring salary \$55,000 - \$65,000 annually, dependent upon relevant skills and experience
- Excellent comprehensive benefits, including a top-ranked retirement plan, low-cost health coverage, supplemental policies such as vision and short-term disability, and generous paid leave programs
- Flexible work schedules including split telework/office schedules and four tens
- Career and personal development support; multiple training and education opportunities and resources available, including opportunities to obtain additional relevant certifications (qualifying Public Service Loan Forgiveness employer)
- Interesting, challenging work with the opportunity to make a real difference in the State of Arizona

*\*The Corporation Commission does not offer employment sponsorships for this position.\**

The Arizona Corporation Commission (AZCC) is one of the most unique state agencies in Arizona and one of only 13 public utility commissions in the country where commissioners are elected. Established by the Arizona Constitution,

the AZCC is a medium-sized state agency with offices in Phoenix and Tucson. Our mission is diverse—spanning utility rate making; securities registration and enforcement; rail and pipeline safety; and corporate business filings—and we boast an average employment tenure of nearly 10 years. Learn more by visiting [www.azcc.gov](http://www.azcc.gov). We are an Equal Employment Opportunity agency and a recognized Arizona Veteran Supportive Employer.

## **WHERE YOU WILL WORK**

1300 W. Washington, Phoenix, AZ