

Data Transparency: A ~~New~~ Way of Life

Ann Ebberts, PMP

AGA CEO

December 14, 2017



AGENDA

- Transparency in Government
- Transparency Driven by Legislation
- Data – What is It Good For?
- Communicating with Citizens

Transparency ...

- Definitions include words like: openness, accountability, straightforwardness and candor
- Three primary aspects of transparency relevant to management practice:
 - information disclosure
 - clarity, and
 - accuracy

In a free society -- transparency is government's obligation to share information with citizens.

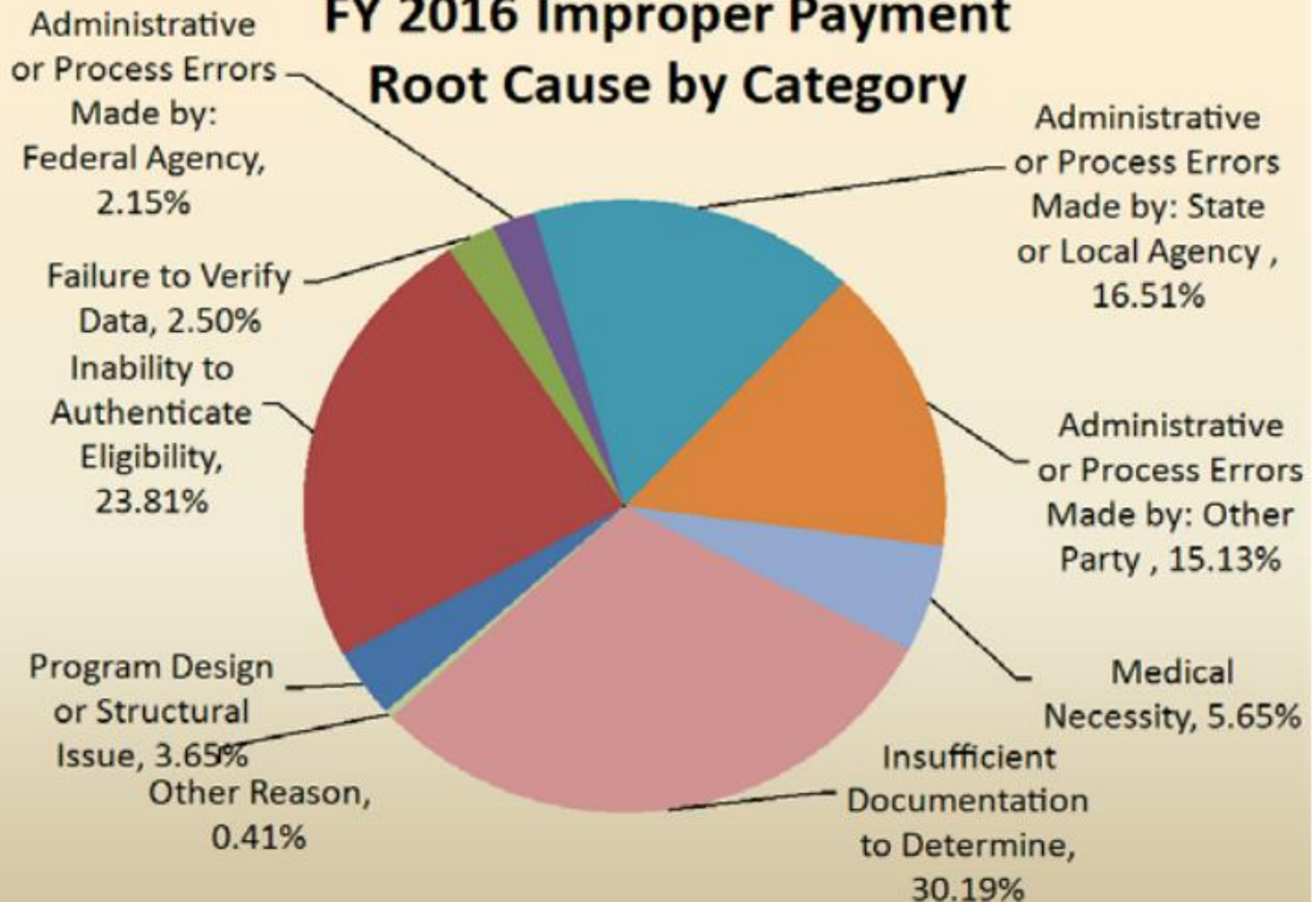
Transparency is not an end in itself, but a tool that helps improve policy outcomes.

U.S. Government Accountability Office (GAO)

- GAO reports critical information to congress
- Identify specific opportunities to reduce the tax gap
 - \$458B -- taxpayers **failed to pay or pay on time** in 2016
- Monitor and evaluate program effectiveness
- Identify and recommend solutions to reduce the risk of waste, fraud, and abuse and improper payments (\$144B in 2016)
- Identify opportunities to reduce or eliminate fragmentation, overlap, and duplication in government programs and activities

Source: 2016 U.S. Financial Report – 1/12/2017

Chart H
FY 2016 Improper Payment
Root Cause by Category



New Site... Initiated by CIGIE



STAY INFORMED
OF NEW REPORTS

REPORT GOVERNMENT
FRAUD, WASTE & ABUSE

HOME

REPORTS

INVESTIGATIONS

ABOUT

Enter keywords...

Search Reports



WELCOME TO OVERSIGHT.GOV



CLICK TO VIEW A
WELCOME VIDEO
FROM CIGIE

What is Oversight.gov?

Oversight.gov is a publicly accessible, searchable website containing the latest public reports from Federal Inspectors General who are members of the Council of the Inspectors General on Integrity and Efficiency (CIGIE).

The site is operated and maintained by CIGIE. The reports and information are uploaded to this site by the IGs.

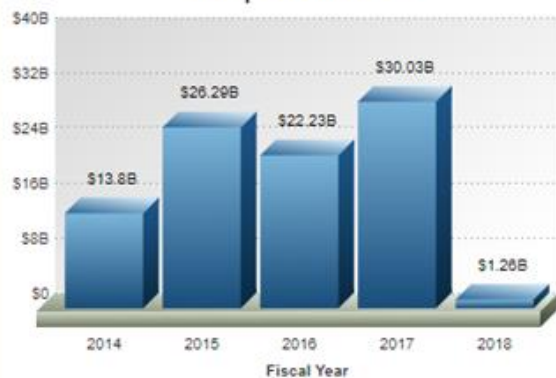


ABOUT CIGIE



ABOUT OVERSIGHT.GOV

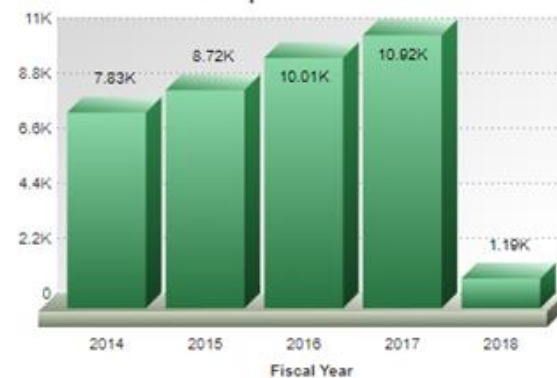
Potential Savings Identified
in Reports on this Site



Reports Uploaded to Oversight.gov
(7097 total reports)



Number of IG Recommendations
in Reports on this Site



What do these charts mean?

73 Federal IGs and their staffs...

- Working to protect your tax dollars from Fraud, Waste, Abuse and Mismanagement
- 2011 – 2015
 - \$200B in potential savings
 - Nearly \$80B in investigative recoveries
- IG investigative recoveries:
 - 29,451 successful prosecutions
 - 7116 civil actions
- Blow the Whistle!!! -- Federal IGs received over 500,000 complaints of fraud, waste and abuse



South Carolina – 01/1/2017 – 12/01/2017

Inspector General Reports

Report Date ▼	Agency Reviewed/Investigated	Title	Type	Location
09/27/2017	Department of the Interior	NPS Employee Misused Position for Private Gain by Accepting Personal Checks from Nonprofits	Investigation	SC, US
09/26/2017	Department of Energy	The Department of Energy's Implementation of Multifactor Authentication Capabilities	Audit	<ul style="list-style-type: none">TN, USSC, USWA, USCO, USAgency-Wide
09/21/2017	Department of Agriculture	South Carolina's Compliance with SNAP Requirements for Participating State Agencies (7 CFR, Part 272)	Audit	SC, US
09/12/2017	Department of Energy	Interim Storage of Transuranic Waste at the Department of Energy	Audit	<ul style="list-style-type: none">MD, USSC, USNM, USNM, USID, USTN, USWA, US
08/28/2017	Department of Health & Human Services	Blue Cross Blue Shield of South Carolina Overstated Its Allocable Qualified Pension Plan Costs	Audit	SC, US
08/28/2017	Department of Health & Human Services	Companion Data Services, LLC, Understated Its Allocable Pension Costs	Audit	SC, US
08/28/2017	Department of Health & Human Services	Companion Data Services, LLC, Overstated Its Medicare Segment Pension Assets	Audit	SC, US
07/07/2017	Department of Health & Human Services	Palmetto Government Benefits Administrator, LLC, Understated Its Medicare Segment Allocable Pension Costs	Audit	SC, US
07/06/2017	Department of Homeland Security	Williamsburg Regional Hospital, South Carolina, Generally Accounted for and Expended FEMA Grant Funds Awarded for Emergency Work Properly	Audit	SC, US
07/06/2017	Department of Health & Human Services	Palmetto Government Benefits Administrator, LLC, Understated Its Medicare Segment Pension Assets	Audit	SC, US

Filter Options

Use the control below to filter the list of Inspector General reports. Click on the heading to view the filter choices.

Current Filter:

- Date Range = 12/14/2017
- Date Range = 01/01/2017
- Country = United States
- State/Province = South Carolina

Filters

▼ Date Range Remove

Reports issued on/before

12/14/2017

E.g., 12/04/2017

Reports issued on/after

01/01/2017

E.g., 12/04/2017

▼ Report Location Remove

Country

United States ▼

State / Province

✕ South Carolina

► Report Type

► Agency Reviewed

► OIG

Transparency Driven By Legislation



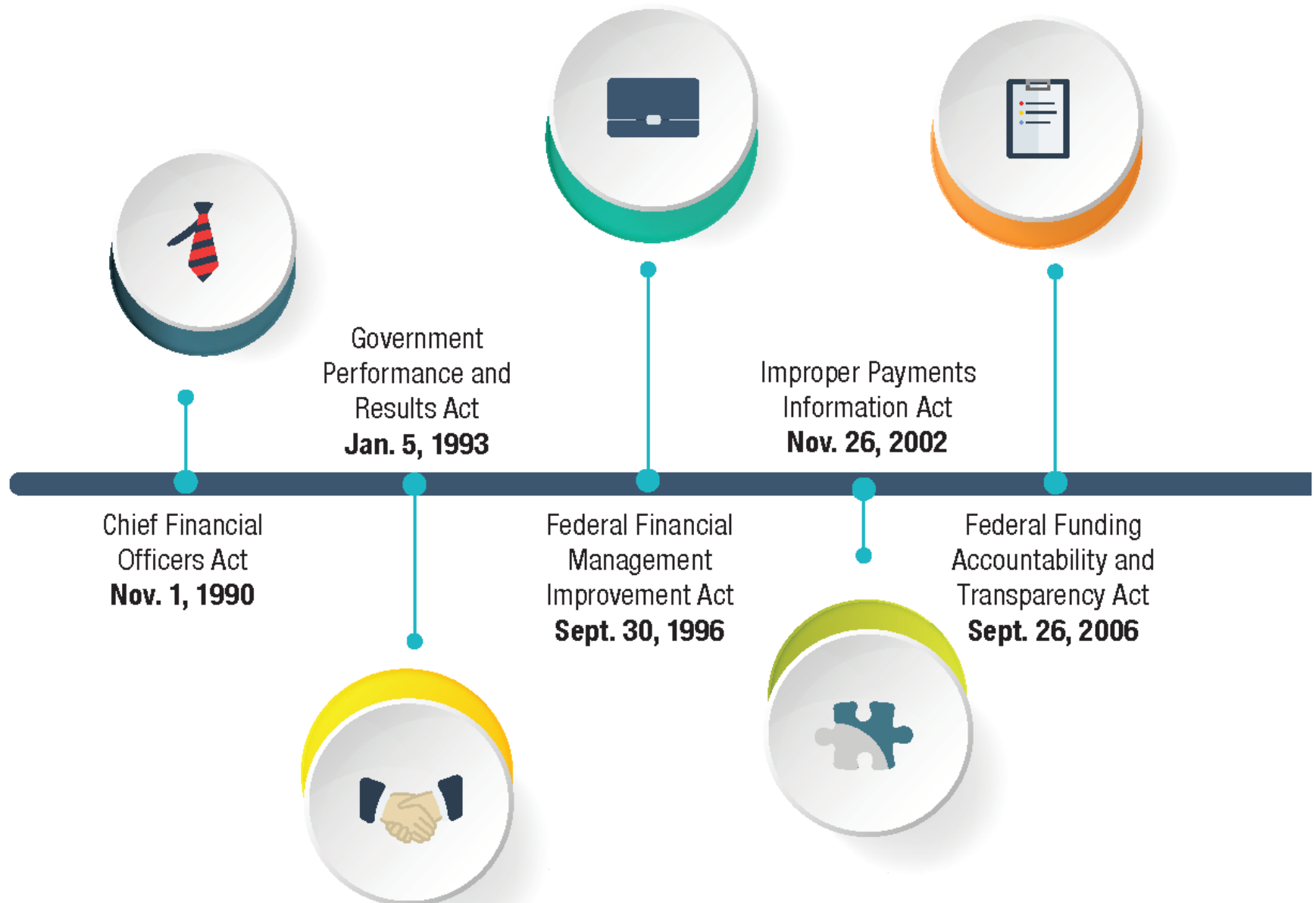
Public Sentiment

- Citizens can't find reliable information
- Lack of public trust in government
- Government financial reports difficult to understand
 - *“How much is being spent?”*
 - *“What are we getting for our tax dollars?”*

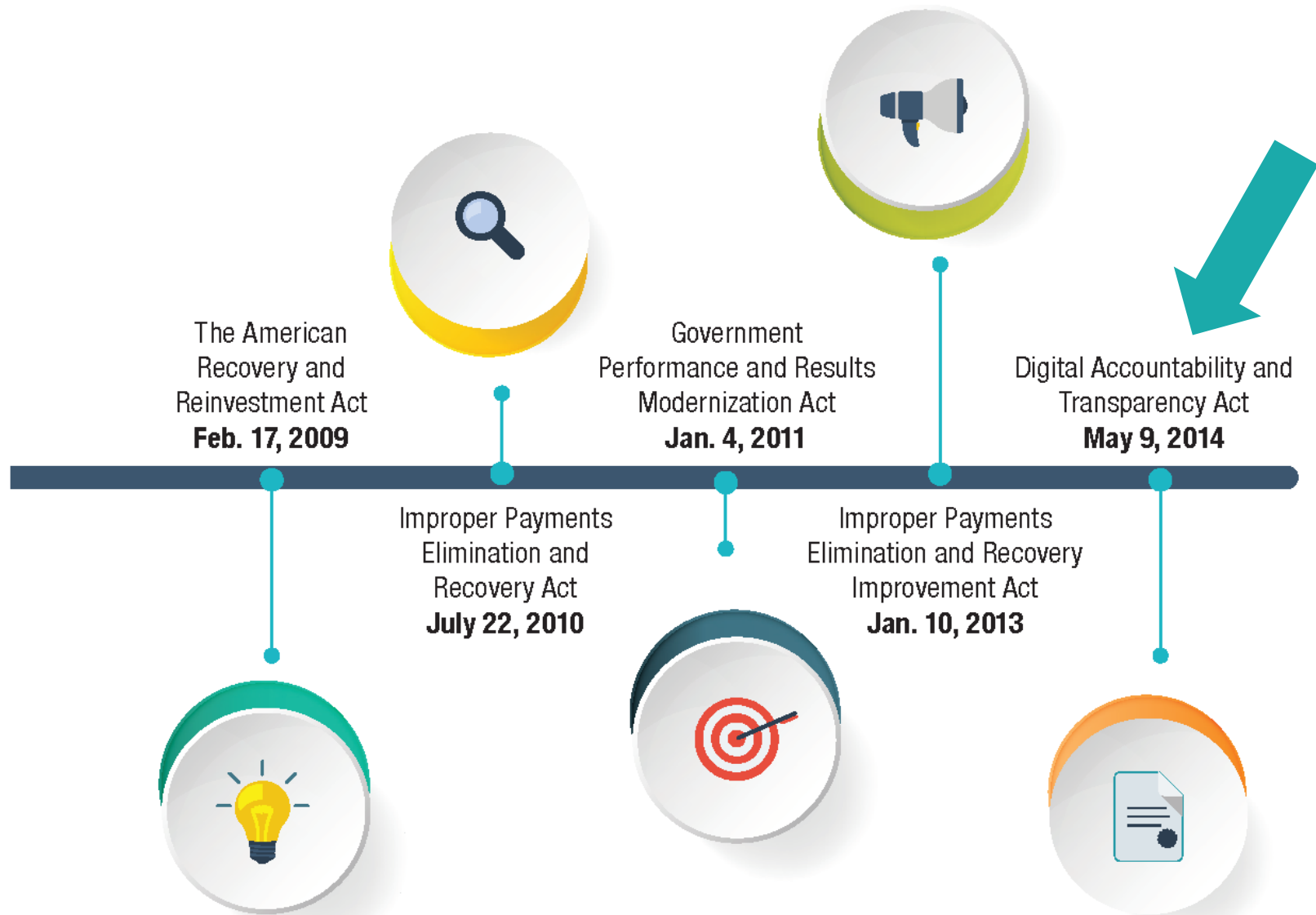
Public perception drives the need for more transparency in government



Associated Federal Legislation



More... Federal Legislation



DATA Act Objectives

- ✓ Increase spending transparency for the public
- ✓ Improve the quality and completeness of the spending data
- ✓ Use the data to improve internal management of the federal government
- ✓ Allow state and local governments to target resources for maximum impact
- ✓ Reduce burden for recipient reporting

www.USASpending.gov

NOTE: You must click [here](#) for very important D&B information.



ATTENTION: In the fall of 2017, we will release a new version of USAspending.gov. The new site will continue to provide data on federal awards as well as include data on agency expenditures. Please note that in advance of that transition, financial assistance awards, such as grants and loans, are now being updated on [beta.usaspending.gov](#) only. Please visit the new site and give us your feedback at [Contact Us](#) or join the [Community](#).

Search Data by Keyword Search Site

[Advanced Data Search](#)

[HOME](#) [ABOUT](#) [WHERE IS THE MONEY GOING](#) [REFERENCES](#) [DOWNLOAD CENTER](#) [CONTACT US](#)

WHAT IS USASPENDING.GOV?

USAspending.gov is the publicly accessible, searchable website mandated by the Federal Funding Accountability and Transparency Act of 2006 to give the American public access to information on how their tax dollars are spent. [Learn More...](#)

OVERVIEW OF AWARDS - FY 2018

The federal agencies distribute funding through federal contracts, grants, loans, and other financial assistance. See the [Overview of Awards by Fiscal Year](#) trend graph for spending by all Fiscal Years.

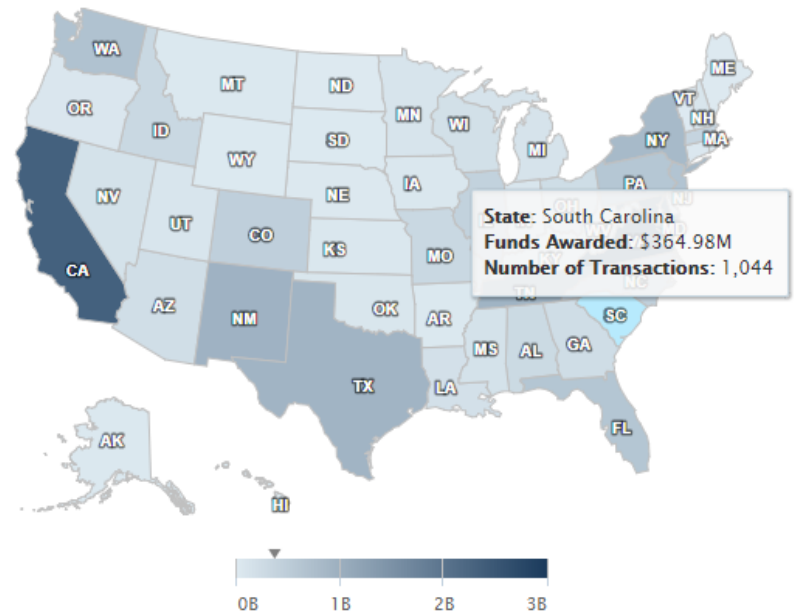


*See explanation in [Glossary](#)

[Text View of Overview of Awards](#)

AWARDS BY STATE - FY 2018

Roll over map to see data. Click on state for more details



[Text View of Awards by State](#)

South Carolina ▼

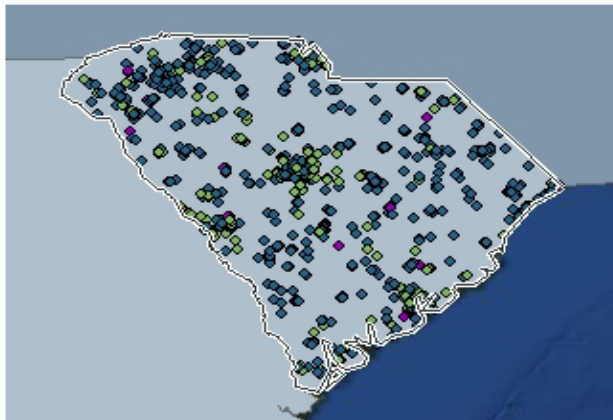
Fiscal Year 2017 ▼

Go

Total Funds Awarded - FY 2017

\$61,854,478,832Total Number of Transactions - FY 2017**79,354**

Total SubAward Funds - FY 2017

\$5,315,288,876Total SubAward Transactions - FY 2017**2,458****CONTRACT, GRANT, LOAN, AND OTHER FINANCIAL ASSISTANCE AWARDS**[View Spending Map](#)

	# of Awards	Amount
● Contracts	35,738	\$4,859,696,842
● Grants	7,851	\$8,014,644,762
● Loans	6,440	\$24,741,043
● Other Financial Assistance	29,325	\$48,955,396,184

TOP COUNTIES

1. Richland	\$32,976,282,495
2. Greenville	\$1,447,574,225
3. Horry	\$1,442,847,192
4. Charleston	\$1,183,964,617
5. Spartanburg	\$981,879,661

[Text View and More Details on All Counties](#)**TOP CONGRESSIONAL DISTRICTS**

1. 90	\$38,935,272,729
2. N/A	\$4,769,748,753
3. 02	\$4,217,088,346
4. 06	\$4,002,242,998
5. 07	\$2,321,884,632

[Text View and More Details on All Congressional Districts](#)

TOP PRIME RECIPIENTS

for FY17

Contracts	Grants	Loans	Other Financial Assistance *	
1. SAVANNAH RIVER NUCLEAR SOLUTIONS, LLC				\$974,067,418
2. SAVANNAH RIVER REMEDIATION LLC				\$482,766,815
3. DUKE COGEMA STONE&WEBSTER LLC				\$326,443,382
4. SCIENCE APPLICATIONS INTERNATIONAL CORPORATION				\$163,565,245
5. PALMETTO GBA, LLC				\$158,076,654

[Text View and More Details on All Contracts](#)

TOP AWARDING AGENCIES

1. Department of Health and Human Services	\$37,428,472,605
2. Social Security Administration	\$13,288,109,706
3. Department of Veterans Affairs	\$3,351,643,114
4. Department of Energy	\$2,048,793,248
5. Department of Defense	\$1,958,450,801

[Text View and More Details on All Awarding Agencies](#)

TOP FUNDING BUREAUS

1. Centers for Medicare & Medicaid Services	\$36,474,465,648
2. Social Security Administration	\$13,288,109,706
3. Under Secretary for Benefits / Veterans Benefit Administration	\$2,178,824,349
4. Department of Energy	\$2,048,793,248
5. Department of the Navy	\$1,031,038,764

[Text View and More Details on All Bureaus](#)

STATE SUMMARY:SOUTH CAROLINA

South Carolina ▼

Fiscal Year 2018 ▼

Go

Total Funds Awarded - FY 2018

\$364,998,943

Total Number of [Transactions](#) - FY 2018

1,075

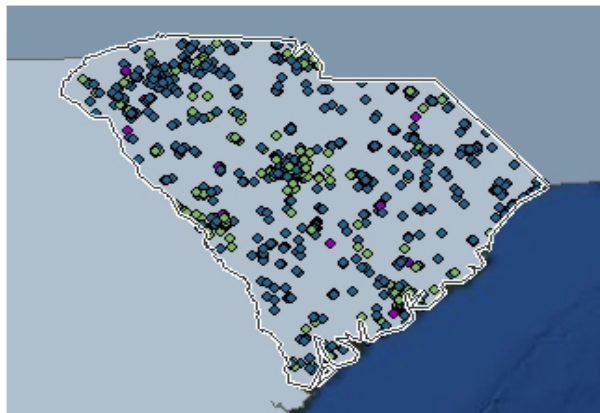
Total SubAward Funds - FY 2018

\$67,139,652

Total SubAward [Transactions](#) - FY 2018

236

CONTRACT, GRANT, LOAN, AND OTHER FINANCIAL ASSISTANCE AWARDS



[View Spending Map](#)

of Awards

Amount



Contracts

1,075

\$364,998,943

TOP COUNTIES


No Data Found

TOP CONGRESSIONAL DISTRICTS

1. 02	\$308,782,864
2. 06	\$31,761,438
3. 01	\$10,037,937
4. 04	\$4,358,313
5. 07	\$4,302,481

[Text View and More Details on All Congressional Districts](#)

https://beta.usaspending.gov/#

 This site is in beta. To view the current production site, visit [USAspending.gov](https://usaspending.gov). Spending data from before 2017 at the current [USAspending.gov](https://usaspending.gov) will be transferred to the new site, along with additional functionality, throughout the summer on a rolling basis.



Profiles ▾

Search & Download

[About](#) | [Help](#) | [Glossary](#)

Search (coming soon)



In 2016, the U.S. government spent **\$3.85 trillion dollars**

How was that money used? We have the answers.

See the Breakdown



Start Your Search



Users can query by Department...

Overview

Obligated Amount

Object Classes

Federal Accounts



Department of State

Agency Mission

The Department's mission is to shape and sustain a peaceful, prosperous, just, and democratic world and foster conditions for stability and progress for the benefit of the American people and people everywhere. This mission is shared with the USAID, ensuring we have a common path forward in partnership as we invest in the shared security and prosperity that will ultimately better prepare us for the challenges of tomorrow.

Website

<https://www.state.gov/>

Budgetary Resources for FY 2017

FY 2017 data reported through September 30, 2017

\$64.8 Billion

This is 0.8% of the total U.S. federal budgetary resources for FY 2017.



Obligated Amount

FY 2017 data reported through September 30, 2017


Agencies spend their available budgetary resources by making binding financial commitments called **obligations**. An agency incurs an obligation, for example, when it places an order, signs a contract, awards a grant, purchases a service, or takes other actions that require it to make a payment.

As of September 30, 2017, the Department of State has...

obligated **\$40.6 Billion** against its **\$64.8 Billion** in budgetary resources



...and outlaid **\$38.4 Billion** in FY 2017.

 Glossary

FY 17 State and Local Gov't Spending in U.S.

Almost **80%** of total spending in 2016 was awarded to individuals, private contractors, and local governments.

3.06 trillion

Individuals
30%

For-profit entities
19%

State and local gove...
17%

Non-...
5%

Other A...
8%

Running the government and...
21%

State and local government

\$668.0 billion

This category includes government entities at the state, county, and local levels. As such it includes housing authorities, airport and port authorities, and local school districts. Funding for most tribal governments is also included here. This category also includes Medicare and Medicaid spending, which flows through state governments to individuals.

The geographic breakdown of this portio

Map View

List View

DATA...What is it good for?



'I'll be late tonight dear,
I'm caught in a data deluge!'

Many Sources of Data...

- Government sites:
 - USASpending.gov
 - Performance.gov; Data.gov (home of open data), USA.gov, and department/agency websites
 - State websites
- Non-profit organizations research, such as:
 - USAFacts.org
 - U.S. Public Interest Research Group (PIRG)
 - Truth in Accounting
 - The Volcker Alliance
 - Coalition for Regulatory Innovation

USAFacts.org

- “Our Nation, in Numbers”
- Federal, state and local data from 70+ government sources
- Details and summaries
- Launched April 18, 2017
- Survey findings released July 14, 2017
 - June 30 – July 5, 2017: Conducted a **Harris Poll** – of citizens
 - 2521 respondents



Healthcare IT News

TOP

Government & Policy

Steve Ballmer launches USAFacts.org to give American citizens a clear look at government spending

The former Microsoft CEO's previously-stealth website cost \$10 million to launch and will run \$3-5 million a year to operate, funding researchers in Seattle and a grant to the University of Pennsylvania.

By [Bill Siwicki](#) | April 18, 2017 | 03:12 PM

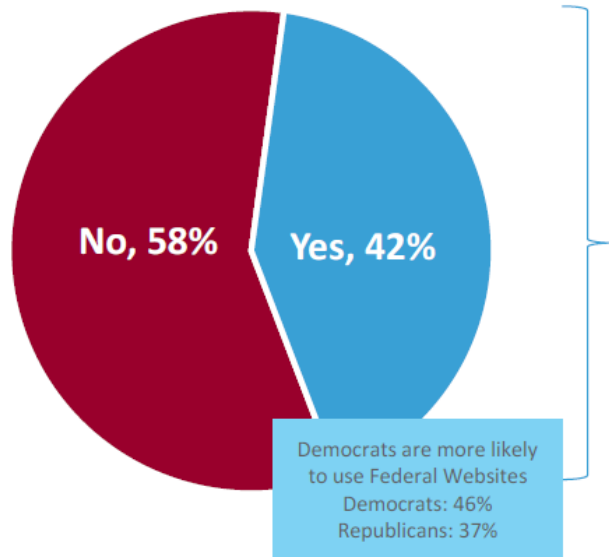


Some Findings from the Survey:

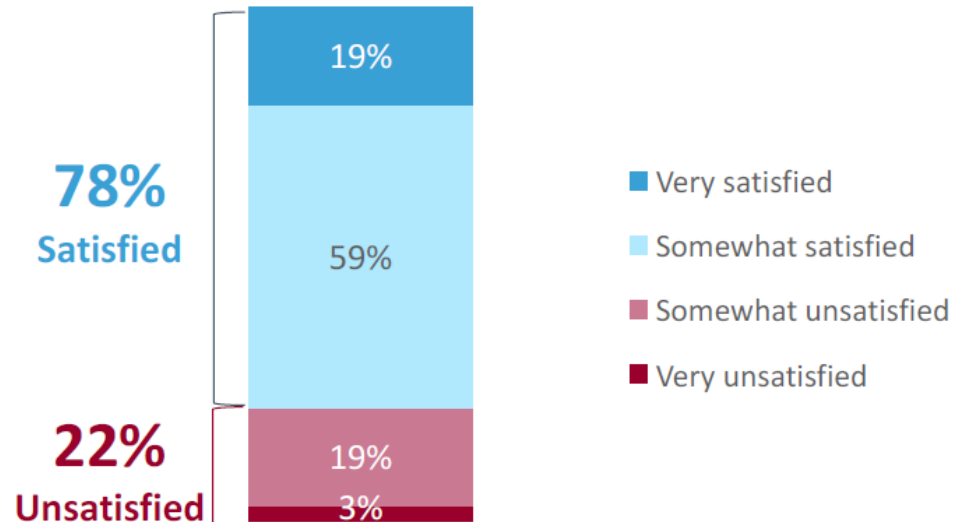
- Americans are numbers junkies and 88% prefer fact and figures, in an analyzed form (not raw data)
- Americans have little trust in the data they see from state, local or federal governments, yet believe elected officials should use data to inform decision making
- 88% believe a **single source** of information is critical to an informed debate
- People are interested in how they personally compare with others:
 - Salaries for job categories and in certain locations, housing prices, tax rates, availability of services, etc.

MORE THAN 4 IN 10 AMERICANS HAVE USED FEDERAL GOVERNMENT SITES TO LOOK UP INFORMATION AND ARE SATISFIED WITH THEM

Used Federal Government Website



Satisfaction with Federal Government Website



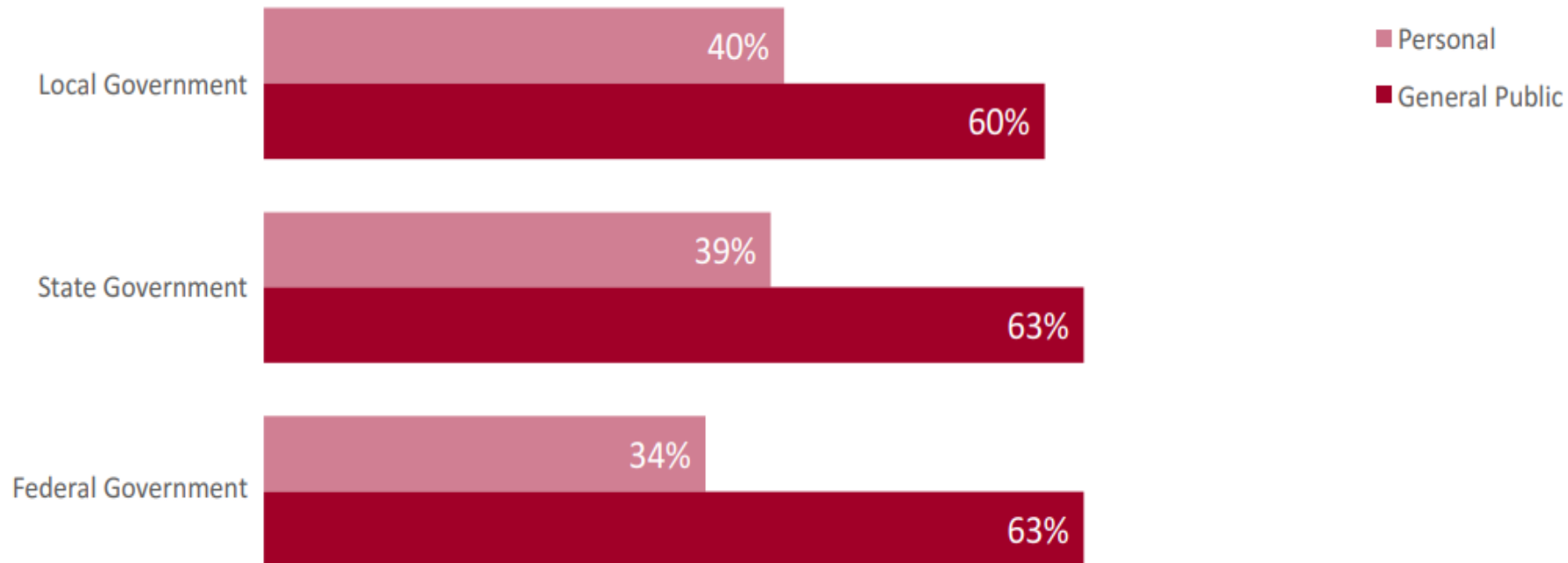
BASE: ALL QUALIFIED RESPONDENTS (n=2521)

Q636A. Have you used the federal government websites (e.g. Census Bureau, White House, Centers for Disease Control) site to look up information?

BASE: HAVE USED GOVERNMENT SITES (n=1060)

Q636B. How satisfied are you with the federal government websites?

Most individuals feel General Public is more informed than themselves....



BASE: ALL QUALIFIED RESPONDENTS (n=2521)

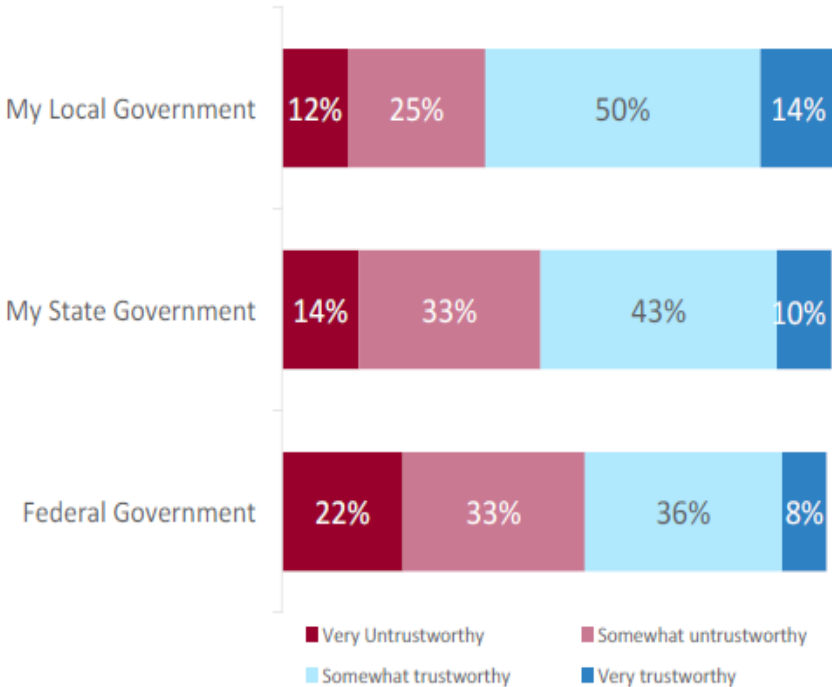
Q607. How informed are you personally about the actions and expenditures of each of the following?

Q608. How informed do you think the general public is about the actions and expenditures of each of the following?

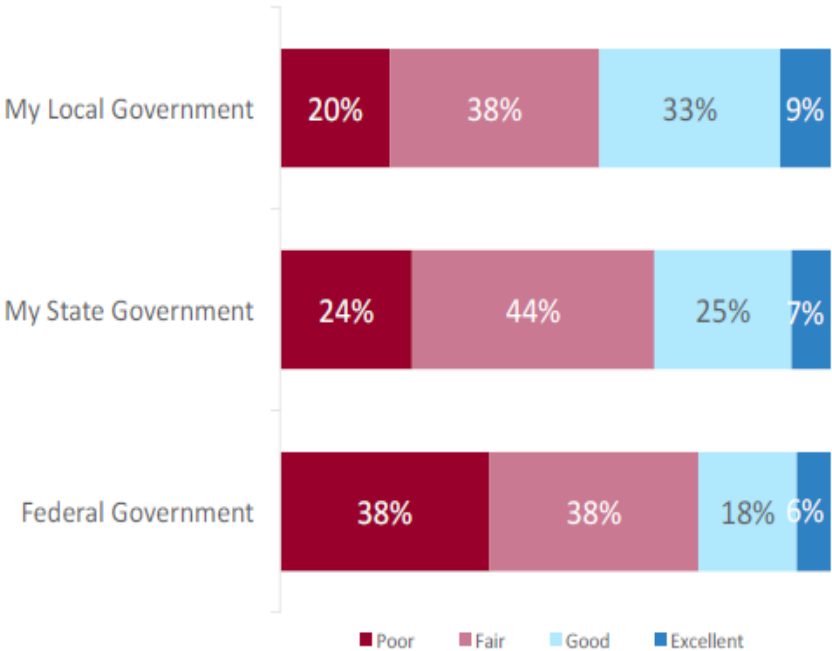
LOCAL GOVERNMENT IS VIEWED AS BETTER THAN FEDERAL GOVERNMENT AT PRESENTING TRUSTWORTHY, CLEAR, AND UNBIASED INFORMATION

TRUSTWORTHINESS AND RATING OF GOVERNMENT DATA RECEIVED

Trustworthiness of Statistical And Financial Information From...



Rating of Clear, Unbiased Statistical And Financial Information From...



BASE: ALL QUALIFIED RESPONDENTS (n=2521)

Q611. How trustworthy is the statistical and financial information from each of the following?

Q612. How would you rate each of the following at presenting clear, unbiased statistical and financial information?

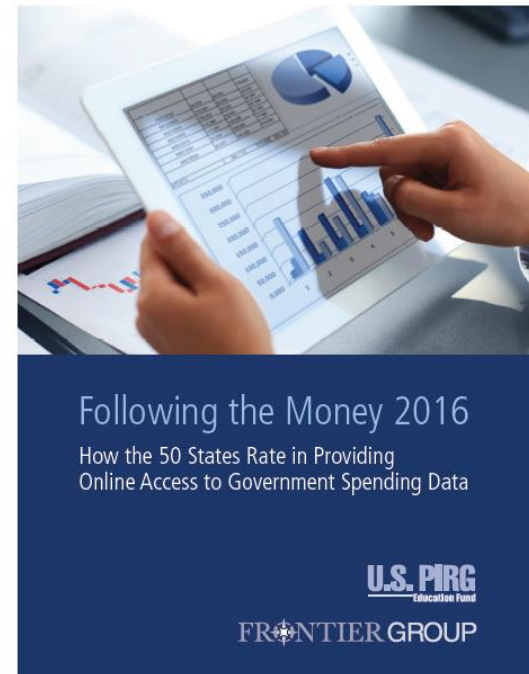
U.S. Public Interest Research Group (PIRG)

U.S. PIRG Education Fund published “Following the Money 2016” providing state data transparency

Seventh year of the review - using A-F grades

U.S. PIRG states that websites should be:

- ✓ Comprehensive – multi source data
- ✓ One-Stop – on one website
- ✓ One-Click - searchable

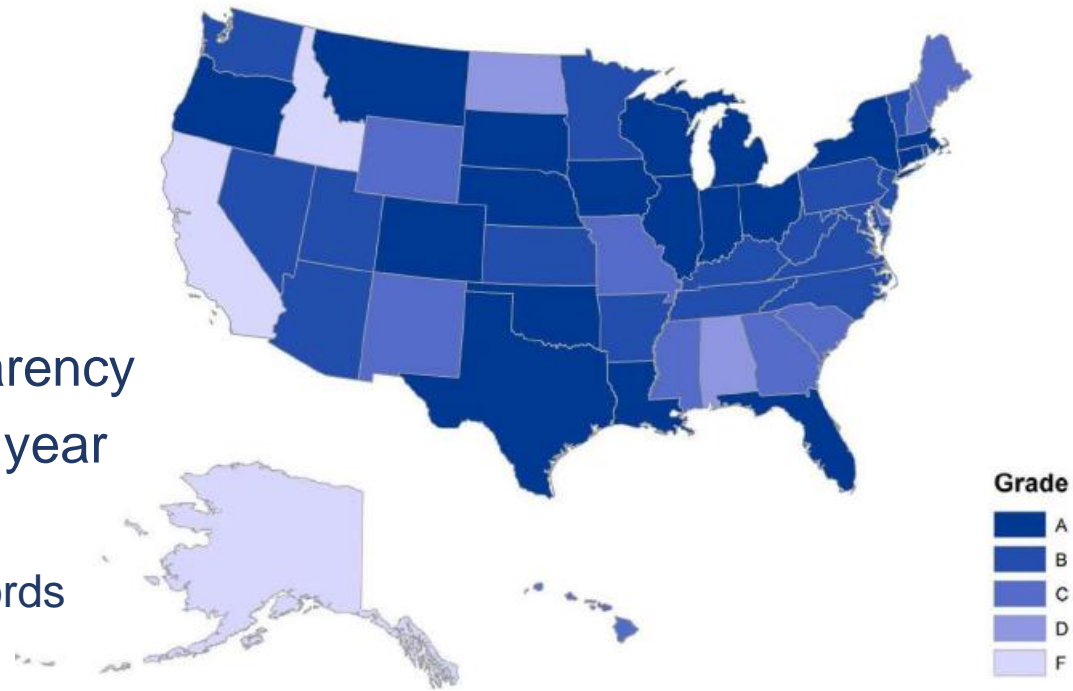


Source: [www.uspirg.org/sites/pirg/files/reports/Following the Money 2016...](http://www.uspirg.org/sites/pirg/files/reports/Following%20the%20Money%202016...)

How Does Your State Rate?

South Carolina:

- ✓ Solid “C+” with Score of 78
- ✓ Managed by Comptroller General’s office
www.cg.sc.gov/fiscaltransparency
- ✓ Invests \$400K – 950K each year
- ✓ Results:
 - Reduced number of open-records requests
 - Reduced copying costs
 - More available time to provide program services



South Carolina Comptroller General



RICHARD ECKSTROM, CPA

[Comprehensive Annual Financial Report \(CAFR\)](#) | [Contact Information](#)

Home

Meet Comptroller General
Eckstrom

Transparency Website
Improvements

Publications and Reports

Guidance and Forms for State
Agencies

Newsroom

Contact Information

Fiscal Transparency

Report State Agency Fraud
(Office of the Inspector General)

S.C. Enterprise Information
System (SCEIS)

[Home](#) > Meet Comptroller General Eckstrom



Fiscal
Transparency



Richard Eckstrom, a CPA, has served as South Carolina's Comptroller General since 2003. As the state's Chief Accountant, he has championed fiscal restraint, accountability and transparency in all government financial transactions.

From 1994 to 1998, Eckstrom served as State Treasurer. Eckstrom is the first CPA to hold the financial positions of Treasurer or Comptroller General. Prior to being elected Treasurer, he was a practicing CPA with an international accounting firm.

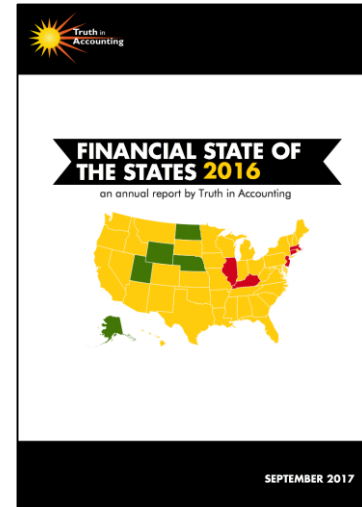
Eckstrom is a graduate of the University of South Carolina. Following his graduation in 1970, he was commissioned in the Navy and conducted Oceanographic Research for three years. Upon completion of military service, he earned an MBA and a Master of Accountancy from the University Of South Carolina School Of Business. While a graduate student he also joined the Navy Reserve, retiring as a

First CPA to
hold
positions of
Treasurer or
Comptroller
General

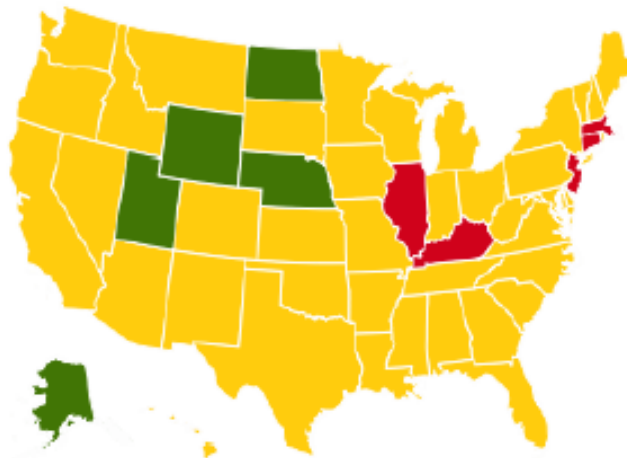


Truth in Accounting (TIA):

- “Financial State of the States – 2016”
 - www.truthinaccounting.org
 - www.statedatalab.org
 - Report released September 2017
 - \$1.5 T in unfunded debt, \$832.6 B in pension debt



41 states do not have enough money to pay their bills



41 states do not have enough money to pay all their bills. This means that in order to balance the budget, they have hidden debt off their balance sheets.

When states do not have enough to pay bills, Truth in Accounting (TIA) takes that number and divides it by the number of taxpayers. We call the resulting number 'taxpayer burden' and rank the states based on this.

The best (green) and worst (red) states have been highlighted.

TIA Findings – Taxpayer burden or surplus:

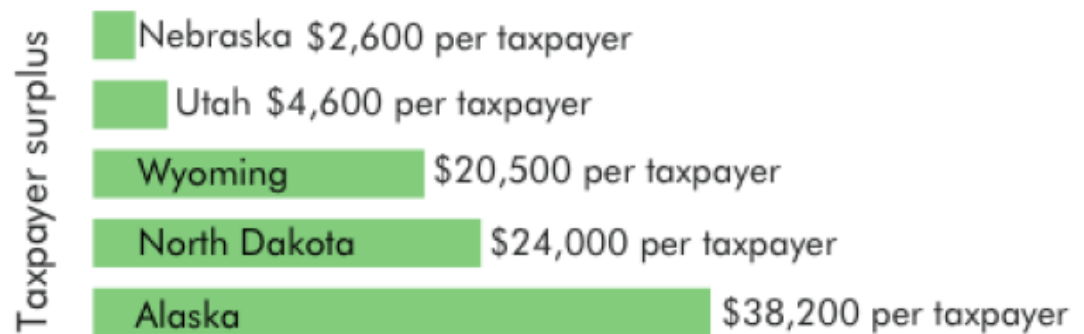
- South Carolina:
 - Taxpayer Burden:
 - \$10,100
 - Grade: D
 - Approx. \$14 B needed to pay bills

THE BOTTOM 5 SINKHOLE STATES



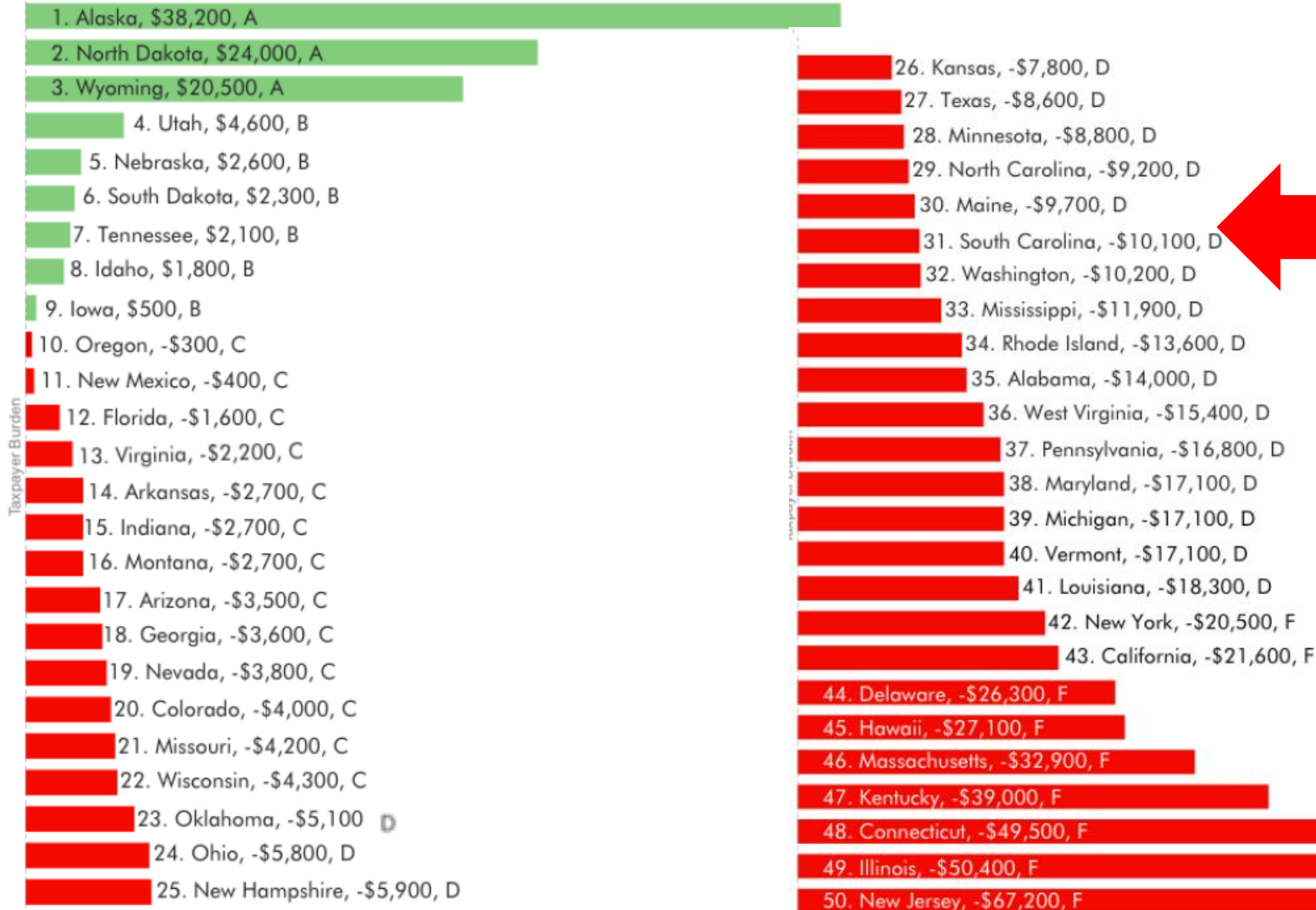
- Burden Grades:
 - A: Surplus >\$10K
 - B: \$100 – 10K
 - C: \$0 - \$4,900
 - D: \$5K - \$20K
 - F: > \$20K

THE TOP 5 SUNSHINE STATES



50 STATE RANKING

Green is a Sunshine State and red is a Sinkhole State.



Timely or Tardy?

Days after end of Fiscal Year End

MOST TIMELY

Michigan	123
Washington	124
Utah	131
New York	154
North Carolina	155

TIMELY

Kansas	160
Maryland	160
South Carolina	165
Iowa	167
Kentucky	167
North Dakota	168
Nebraska	168
Virginia	168
Pennsylvania	168
Wyoming	169
Minnesota	169
Tennessee	174
Indiana	174
Nevada	174
Oklahoma	174
Arkansas	175
Ohio	175
Maine	175
Delaware	175
Idaho	176
Oregon	176
Rhode Island	176
Vermont	180

TARDY

South Dakota	183
Georgia	183
Missouri	183
Louisiana	183
Hawaii	183
Connecticut	183
Massachusetts	190
Montana	214
New Hampshire	215
Florida	225
Colorado	242
Texas	243
Illinois	243

MOST TARDY

New Jersey	258
California	265
Wisconsin	273
Mississippi	295
West Virginia	304
Alaska	330
Alabama*	273
New Mexico*	365
Arizona	341

“Tardy states” did not publish their financial reports within the 180-day deadline.

Alabama and New Mexico had still not published their 2016 CAFRs as of June 30, 2017.

Check out AGA's 2017 Fall *Journal*

Articles:

- Overwhelmed by Data
- The Looming Wave of Cyber Fraud in Health Care
- Protecting Data Assets in a Perilous Cyber World
- A Guide to Cybersecurity
- And more....



Communicating with Citizens

Citizen-Centric Reporting – Our 10th Anniversary!

Fostering innovative means of communication between governments and their citizenry | www.agacgfm.org/ccr

“Giving the citizens numbers they can understand.”

-Governing

“A government’s obligation to the people for its actions and use of resources”

-AGA’s definition of accountability

... Access to spending data is the first step. The CCR provides the next logical step to informing our citizens.

CCR: PAGE 1

High-level information about agency/organization

- Vision Statement
- Basic demographics
- Strategic goals and accomplishments



The City of Baltimore

Citizen's Guide to the Budget – Fiscal 2016

This year's budget reflects a recovering economy. It continues the City's momentum toward fiscal sustainability, boosts investments in infrastructure and economic growth, and makes City services more proactive while trimming the workforce to its smallest size in modern history.

By organizing the City's budget around seven Priority Outcomes – Better Schools, Safer Streets, Stronger Neighborhoods, A Growing Economy, Innovative Government, A Cleaner City, and A Healthier City – we invested in City services that most efficiently and effectively deliver what residents want and need.

The Fiscal 2016 budget targets investments that further us on the path to a better Baltimore. We will dedicate \$4.2 million in new spending for after-school and other youth programs that give our young people hope and opportunity, an essential step toward growing Baltimore by 10,000 families by 2020.

This document demonstrates what Baltimore has accomplished in the last year in our outcome areas. It also features key facts about this year's budget and the investments the City will make in our community.

Stephen D. Bock

What did we accomplish in 2015?

- Better Schools**
 - Collaborated with Baltimore City Public Schools to provide new pre-k and kindergartners with their first Enoch Pratt library card as part of school registration.
- Safer Streets**
 - Put more police officers on the street during peak crime hours through a new staffing model that focuses on connecting officers with the communities they serve.
- Stronger Neighborhoods**
 - Opened the Morrell Park Recreation Center – the first new center in 10 years – which includes a computer lab, gym and outdoor green space.
- Growing Economy**
 - Placed 8,000 young adults in summer jobs through the YouthWorks program.
- Innovative Government**
 - Reduced the time it takes to issue a fire permit from more than 30 days to fewer than nine days using the City's LEAN Government program.
- Cleaner City**
 - Removed 205 tons of trash and debris from the harbor with the Inner Harbor Water Wheel, which uses power from water and sunlight to keep litter from polluting the Chesapeake Bay.
- Healthier City**
 - Re-Launched the Virtual Supermarket program, which allows residents to order healthy foods online and pick them up from nearby locations.

Fast Facts

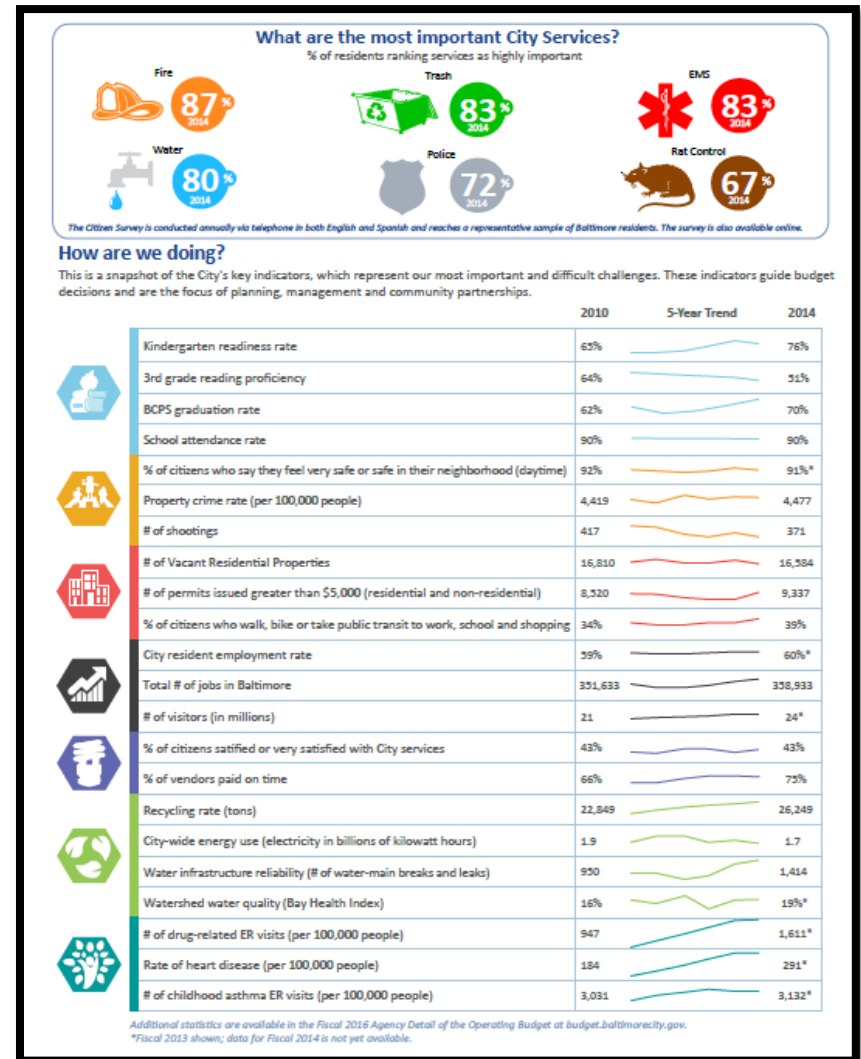
- 100,726** Lane Miles Swept
- 1,121** Residents Placed in Jobs
- 6,574** Vacant Homes Cleaned & Boarded
- 731** Farm Plots Rented
- 156,519** EMS Responses
- 810,198** Summer Meals Served

This information reflects service outputs from Fiscal 2016.

CCR: PAGE 2

Key service and activity performance

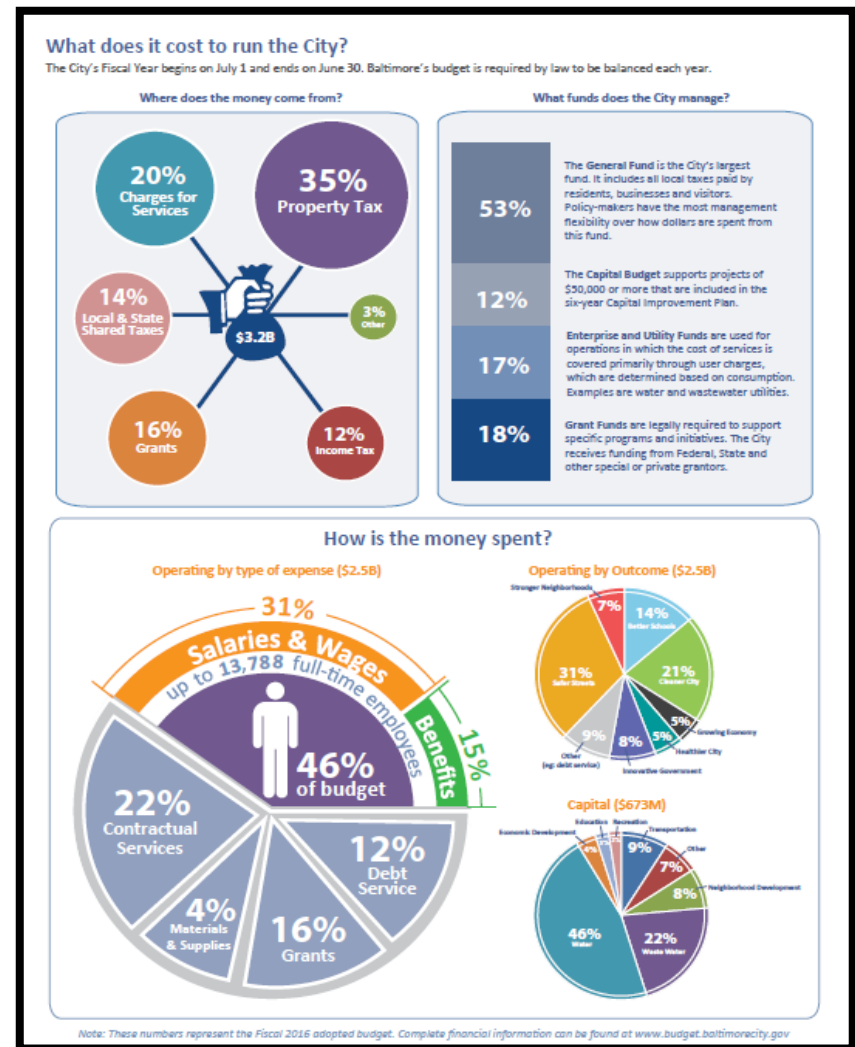
- Key service areas
- Progress toward strategic goals or deliverables
- Demonstration of accomplishments (2-5 years) for non-financial outcomes



CCR: PAGE 3

Revenues and Expenditures

- Revenue and cost data for major delivery areas
- Well-labeled charts, graphs and tables
- Reference audit conducted
- Provide URL for more detailed financial information



CCR: PAGE 4

Future Challenges and Economic Outlook

Include items with future impact, such as:

- Economic changes
- Tax cuts or increases
- Unemployment
- Education
- Environmental aspects
- Ask for feedback by including a contact name and contact information



Check Us Out and Connect!



@AGACGFM



LINK WITH
AGA



703-684-6931



EMAIL US!

- Check us out at WWW.AGACGFM.ORG
- aebberts@agacgfm.org



"And this is where the *magic* happens."

Any Questions?

